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AUTHOR(S):

TAKATA, TOSHIE

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# INVARIANTS OF 3-MANIFOLDS ASSOCIATED WITH QUANTUM GROUPS AND VERLINDE'S FORMULA

TOSHIE TAKATA

九大理・高田敏恵

## Introduction

In [14], Witten obtained new topological invariants of closed 3-manifolds and links in 3-manifolds from the quantum field theory. Shortly afterwards, in [11], Reshetikhin and Turaev defined related invariants of closed oriented 3-manifolds and links in such 3-manifolds, by means of representations of quantum groups. More precisely, they use quantized universal enveloping algebra  $U_q(sl(2, \mathbb{C}))$ , which is a  $q$ -deformation of the universal enveloping algebra  $sl_2(\mathbb{C})$  discovered independently by Drinfeld [1] and Jimbo ([2],[3]). The algebra  $U_q(sl(2, \mathbb{C}))$  has a structure of a Hopf algebra. Reshetikhin and Turaev introduced the additional structure in the case  $q = \exp \frac{2m\pi\sqrt{-1}}{r}$  called a 'modular' Hopf algebra to define invariants of 3-manifolds. They obtain invariants of 3-manifolds as a combinational formula using invariants of framed link associated with the algebra  $U_q(sl(2, \mathbb{C}))$ . This is based on the fact that any closed connected oriented 3-manifold is obtained by Dehn surgery [10] of  $S^3$  along a framed link [7].

As an application of the invariants, we construct a projectively linear representation of  $SL(2, \mathbb{Z})$ . Let  $Z(T^2)$  be an  $(r-1)$ -dimensional vector space over  $\mathbb{C}$  and  $\{e_i\}_{i=0}^{r-2}$  a basis of the vector space  $Z(T^2)$  and we associate to a basis  $e_i$  a solid torus  $U_i$  which has a link in the interior. Gluing such two solid tori  $U_i$  and  $U_j$  by an element  $X$  of the mapping class group of the torus  $T^2$ , we obtain a closed 3-manifold  $M_X$  with a link. We denote the

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invariant of the resulting manifold by  $X_{ij}$ , which is denoted by  $M_X$ . We define an action  $\rho$  of  $SL(2, \mathbb{Z})$  on the vector space  $Z(T^2)$  by the formula

$$\rho(X) e_j = \sum_{i=0}^{r-2} X_{ij} e_i \quad (j = 0, \dots, r-2).$$

For generators  $S$  and  $T$  of  $SL(2, \mathbb{Z})$ , we obtain the equations

$$S_{ij} = \sqrt{\frac{2}{r}} \sin \frac{m(i+1)(j+1)\pi}{r},$$

$$T_{ij} = q^{\frac{i(i+2)}{4}} \delta_{ij}$$

This matrix  $(S_{ij})$  is the unitary matrix and the representation of  $SL(2, \mathbb{Z})$  by means of the matrices above was discovered by Kac and Peterson [4] to describe the modular property of the character of the affine Lie algebra and was also used by Kohno [5] to define invariants of 3-manifolds. The above representation

$$\rho : SL(2, \mathbb{Z}) \rightarrow GL(Z(T^2)) / \langle C \rangle$$

is a projectively linear representation, where  $\langle C \rangle$  is the cyclic group generated by a root of unity  $C = \exp \sqrt{-1}(-\varphi + \frac{3\pi m}{2r} - \frac{\pi}{2})$ . Here  $\varphi$  is determined from the following Gauss sum;

$$\sqrt{2r} \exp(\sqrt{-1}\varphi) = \sum_{k=0}^{2r-1} \exp(\sqrt{-1}\pi k^2 m / 2r)$$

As an application, we prove ‘Verlinde’s Formula’ for  $SU(2)$  [13]. This is given by the following formula:

$$\frac{S_{ij} S_{ik}}{S_{i0}} = \sum_{l=0}^{r-2} S_{il} N_{ljk},$$

where

$$N_{ijk} = \begin{cases} 1 & \text{if } |i-j| \leq k \leq i+j, i+j+k \in 2\mathbb{Z}, i+j+k \leq 2(r-2) \\ 0 & \text{otherwise.} \end{cases}$$

We verify it by computing the invariant of  $S^2 \times S^1$  with a link in two ways. The proof is similar to that by Witten [14], but our approach is based on representations of  $U_q(sl(2, \mathbb{C}))$  with  $q = \exp \frac{2m\pi\sqrt{-1}}{r}$ .

The paper is organized as follows. In §1, we review some of the results in [11]. We explain a representation of a modular Hopf algebra and define invariants of links and 3-manifolds derived by Reshetikhin and Turaev. In §2, using the invariants derived in §1, we establish a representation of  $SL(2, \mathbb{Z})$ . The action of generators  $S$  and  $T$  on the vector space  $Z(T^2)$  is represented by matrices and it is shown that they satisfy their relations. In §3, a proof of ‘Verlinde’s formula’ for  $SU(2)$  is presented. To compute the invariants, we make use of the idea in §2.

## 1. Review

### 1.1 Modular Hopf algebra $U_t$

In [11], Reshetikhin and Turaev give  $U_t$  as an example of ‘modular’ Hopf algebra. In this paper, we consider the definition of topological invariants of 3-manifolds for this modular Hopf algebra  $U_t$ . We explain this modular Hopf algebra  $U_t$ . For a non zero  $q \in \mathbb{C}$ ,  $U_q(sl_2)$  is the Hopf algebra which is a  $q$ -deformation of the universal enveloping algebra of Lie algebra  $sl_2(\mathbb{C})$ . Let us recall the definition of  $U_t$  due to Reshetikhin and Turaev. Let  $q$  be a root of unity and  $t = \exp(\pi\sqrt{-1}m/2r)$  where  $m$  and  $r$  are mutually prime integers with odd  $m$ ,  $2r - 1 \geq m \geq 1$ ,  $r \geq 2$  and  $q = t^4$ . We fix an integer  $r$  satisfying  $r \geq 2$ . We define  $U_t$  to be the associative algebra with unit over the cyclotomic field  $\mathbb{Q}(t)$  with 4 generators  $K, K^{-1}, X, Y$  satisfying the following relations:

$$XY - YX = \frac{K^2 - K^{-2}}{t^2 - t^{-2}} \quad (1.1.1)$$

$$XK = t^{-2}KX, YK = t^2KY \quad (1.1.2)$$

$$K^{4r} = 1, X^r = Y^r = 0 \quad (1.1.3)$$

The relations (1.1.1), (1.1.2) define the algebra  $U_q(sl_2)$ . The structure of Hopf algebra in  $U_q(sl_2)$  induces a structure of a Hopf algebra in  $U_t$ . The action of comultiplication  $\Delta$ ,

counit  $\varepsilon$ , antipode  $\gamma$  are given on the generators by the following formulas.

$$\Delta(X) = X \otimes K + K^{-1} \otimes X \quad (1.1.4)$$

$$\Delta(Y) = Y \otimes K + K^{-1} \otimes Y \quad (1.1.5)$$

$$\Delta(K) = K \otimes K \quad (1.1.6)$$

$$\varepsilon(X) = \varepsilon(Y) = 0, \varepsilon(K) = 1 \quad (1.1.7)$$

$$\gamma(X) = -t^2 X, \gamma(Y) = -t^{-2} Y, \gamma(K) = K^{-1} \quad (1.1.8)$$

The structure of the ribbon Hopf algebra in  $U_q(sl_2)$  induces a structure of the ribbon Hopf algebra in  $U_t$ . Thus  $U_t$  has the universal  $R$ -matrix  $R \in U_t \otimes U_t$  due to Drinfel'd [1] which satisfies Yang Baxter equation,  $u \in U_t$  defined from  $R$ , and  $v \in U_t$  which is a central element of  $U_t$ . If  $R = \sum_i \alpha_i \otimes \beta_i$ , then  $u = \sum_i \gamma(\beta_i) \alpha_i$  and  $v = uK^{-2}$ . Moreover,  $U_t$  satisfies six axioms (see [11, §3]) and has a structure of modular Hopf algebra. We describe the representation of modular Hopf algebra  $U_t$ . Let  $I$  be a finite set of integers  $\{0, 1, \dots, r-2\}$ . For an integer  $i \in I$ ,  $V_i$  denotes  $(i+1)$ -dimensional irreducible representation of  $U_t$ . It is an  $(i+1)$ -dimensional  $U_t$ -module. The action  $\rho$  of the generator  $K$  of  $U_t$  on  $V_i$  has the following matrix representation:

$$\rho(K) \mapsto \begin{pmatrix} t^i & & 0 \\ & t^{i-2} & \\ & & \ddots \\ 0 & & & t^{-i} \end{pmatrix} \quad (1.1.9)$$

For any  $U_t$ -module  $V_i$  we provide the dual linear space  $V_i^\vee = \text{Hom}_{\mathbb{C}}(V, \mathbb{C})$  with the action of  $U_t$ :

$$\rho_{V_i^\vee}(a) = (\rho_{V_i}(\gamma(a)))^* \in \text{End } V_i^\vee$$

The matrix representation of this action is given by the following matrix:

$$\rho_{V_i^\vee}(K) \mapsto \begin{pmatrix} t^{-i} & & 0 \\ & t^{-i+2} & \\ & & \ddots \\ 0 & & & t^i \end{pmatrix} \quad (1.1.10)$$

Let  $V_i, V_j$  be  $U_t$ -modules and  $\rho_{V_i}$  (resp.  $\rho_{V_j}$ ) the action of  $U_t$  on  $V_i$  (resp.  $V_j$ ). Their tensor product is the  $U_t$ -module  $V_i \otimes V_j$  equipped with the action of  $U_t$  defined by the formula for  $a \in U_t$ :

$$\rho_{V_i \otimes V_j}(a) = (\rho_{V_i} \otimes \rho_{V_j})(\Delta(a))$$

Here  $\Delta$  is the comultiplication of  $U_t$ . One may consider the category  $\text{Rep } U_t$  of finite dimensional linear representations of  $U_t$ . The objects of  $\text{Rep } U_t$  are left  $U_t$ -modules

$$V_{i_1}^{\varepsilon_1} \otimes \cdots \otimes V_{i_k}^{\varepsilon_k}$$

where  $i_l \in I, \varepsilon_l \in \{\pm 1\}, V_{i_l}^{+1} = V_{i_l}, V_{i_l}^{\vee} = V_{i_l}^{-1}, 1 \leq l \leq k$ . The morphisms of  $\text{Rep } U_t$  are  $U_t$ -linear homomorphisms.

**Definition 1.1.** Let  $V$  be an object of  $\text{Rep } U_t$ . For any linear operator  $f : V \rightarrow V$ , we define its quantum trace  $\text{tr}_q f$  to be the ordinary trace over  $\mathbb{C}$  of linear operator

$$f' : V \rightarrow V, f'(x) = \rho(u^{-1}v)f(x).$$

In particular, if  $f$  is the identity map  $\text{id}_V$ , then we denote  $\text{tr}_q \text{id}_V$  by  $\dim_q V$  and call it the quantum dimension of  $V$ . Note that if  $V = V_j$ , for  $j \in I$ , then using  $v = u^{-1}K^2$  and (1.1.9), we get

$$\begin{aligned} \dim_q V_j &= \text{tr}_q(\text{id}_{V_j}) = \text{Tr}(\rho_{V_j}(K^2)\text{id}_{V_j}) \\ &= \sum_{n=0}^j t^{j-2n} = \frac{t^{2j+2} - t^{-2j-2}}{t^2 - t^{-2}} = [j+1] \end{aligned} \quad (1.1.11)$$

$$\text{where } [n] = \frac{t^{2n} - t^{-2n}}{t^2 - t^{-2}} = \frac{\sin(\pi n/r)}{\sin(\pi/r)}$$

In [11], Reshetikhin and Turaev proved the following theorem.

**Theorem 1.2 (Reshetikhin-Turaev).** Let  $V_i (i \in I)$  be an irreducible representation of  $U_t$ . There exists a decomposition

$$V_i \otimes V_j = (\oplus_k V_k) \oplus Z_{ij} \quad (1.1.12)$$

as a  $U_t$ -module, where  $k$  satisfies the following conditions

$$|i-j| \leq k \leq i+j, i+j+k \in 2\mathbb{Z}, \quad (1.1.13)$$

$$i+j+k \leq 2(r-2). \quad (1.1.14)$$

Moreover  $Z_{ij}$  is certain  $U_t$ -module and has the next property. For any integers  $i, j \in I$  and any  $U_t$ -linear homomorphism  $f : Z_{ij} \rightarrow Z_{ij}$ , the quantum trace of  $f$  is equal to zero.

$$\mathrm{tr}_q f = 0 \quad (1.1.15)$$

## 1.2 Ribbon graph

An oriented, directed, homogeneous ribbon tangle is a collection of ribbons and annuli as illustrated in Fig.1 ([11],[12]).

Fig.1

A ribbon (annulus) is oriented if it has an orientation as a surface in  $\mathbb{R}^3$ . By the shaded regions, we express that the tangle is oriented (Fig.1). A tangle is homogeneous if each twist of all ribbons and annuli in the tangle is a full twist. A ribbon tangle is directed if the cores of its ribbons and annuli are provided with directions. For each ribbon tangle we assign a finite dimensional irreducible representation  $V_i$  of  $U_t$  to each component, where  $i$  is called its colour. The procedure is called colouring and we denote it by  $\lambda$ . In Fig.2, elementary coloured ribbon tangles is sketched. We consider ribbons which are called coupons. A small neighborhood of each coupon  $Q$  is depicted in Fig.3, where the rectangle illustrates the coupon. A colour of each coupon is a  $\mathbb{C}$ -linear homomorphism defined from the colours and directions of the ribbons gluing to it. We add coupons to the tangle.

Fig.2 Fig.3

Let us introduce the category  $\mathcal{H}$  of ribbon graphs. The objects of  $\mathcal{H}$  are sequences

$$\eta = ((i_1, \varepsilon_1), \dots, (i_k, \varepsilon_k)) \quad (i_1, \dots, i_k \in I, \varepsilon_1, \dots, \varepsilon_k \in \{1, -1\}),$$

where  $i_1, \dots, i_k \in I$  and  $\varepsilon_1, \dots, \varepsilon_k \in \{1, -1\}$ . We denote the set of such sequences by  $N$ . If  $\eta, \eta' \in N$ , then a morphism  $\eta \rightarrow \eta'$  is a coloured ribbon graph (considered up to isotopy) such that the sequence of colours and directions of the bottom (resp. top) ribbons is equal to  $\eta$  (resp.  $\eta'$ ). The composition  $\Gamma' \circ \Gamma$  of such two morphisms  $\Gamma : \eta \rightarrow \eta'$ ,  $\Gamma' : \eta' \rightarrow \eta''$  is the ribbon graph obtained by gluing the bottom ends of  $\Gamma'$  with the corresponding

top ends of  $\Gamma$ . The tensor product of objects  $\eta, \eta'$  is their juxtaposition  $\eta, \eta'$  (see Fig.4).

Fig.4

### 1.3 Invariants of closed 3-manifolds

For two categories  $Rep U_t$  and  $\mathcal{H}$ , Reshetikhin and Turaev show that there exists a unique covariant functor with five properties (see §2.5 in [11]). They define  $U_t$ -linear homomorphisms corresponding to elementary coloured ribbon graphs pictured in Fig.2 and graphspictured in Fig.5.

Fig.5

Since the graphs  $J_i^+, J_i^-, X_{ij}^+, X_{ij}^-, a_i, b_i, c_i, d_i$  generate the category  $\mathcal{H}$ , the compositions and tensor products of the corresponding homomorphisms determine  $F(\Gamma)$  for a coloured ribbon tangle  $\Gamma$ . In particular, a coloured  $(0,0)$ -ribbon tangle  $\Gamma$  defines  $\mathbb{C}$ -linear homomorphism  $\mathbb{C} \rightarrow \mathbb{C}$ , i.e. a multiplication by a certain element of  $\mathbb{C}$ . The element is a regular isotopy invariant of  $\Gamma$ . It is also denoted by  $F(\Gamma)$ .

**Example 1.3** Let  $\Gamma$  be a coloured  $(0,0)$ -ribbon tangle in Fig.6.

Then  $F(\Gamma) = F(b_i) \circ F(c_i)$  and an easy computation shows  $F(\Gamma) = \dim_q V_i$ .

Fig.6

Let us recall that  $\dim_q V_i$  is equal to the quantum trace of identity homomorphism. The following lemma generalizes this computation.

**Lemma 1.4.** *Let  $\Gamma$  be a coloured  $(k,k)$ -ribbon graph which corresponds to an endomorphism of a certain sequence  $\eta \in N$ . Let  $L$  be the coloured  $(0,0)$ -ribbon tangle obtained by closing  $\Gamma$  (see Fig.7). Then  $F(L) = \text{tr}_q F(\Gamma)$ .*

Fig.7

We introduce the presentation of closed 3-manifolds via framed links. A framed link in the 3-sphere is a finite collection  $L$  of disjoint smoothly embedded circles  $L_1, \dots, L_l$  in  $S^3$ , each component  $L_k$  of  $L$  is provided with a framing which is an integer  $n_k$ . Let  $\omega$  be an orientation of  $L$ . We may regard each component  $L_k$  of the annulus with  $n_k$  full twists. This identification gives us a  $(0,0)$ -ribbon tangle  $\Gamma(L, \omega)$ . The notation  $\omega$  may be thought



of as the directions of the annuli. Let  $\lambda$  be a colouring of  $\Gamma(L, \omega)$ . Then  $F(\Gamma(L, \omega, \lambda))$  is a regular isotopy invariant of coloured  $(0,0)$ -ribbon tangle  $\Gamma(L, \omega, \lambda)$ . By means of the above results, we define invariants of closed 3-manifolds. The idea of their construction is reduced to the following theorem which relates framed links to closed 3-manifolds.

**Theorem 1.5 (Lickorish [7]).** *Each closed connected oriented 3-manifold can be obtained by Dehn surgery on  $S^3$  along a certain framed link.*

Let  $M$  be a closed connected oriented 3-manifold and  $L$  a framed link in  $S^3$  with components  $L_1, \dots, L_l$  and framing  $n_1, \dots, n_l$  which can be related to  $M$  by the above theorem. Dehn surgery is the following process. We remove an open tubular neighborhood of each  $L_k$  on the resulting toral boundary and glue  $l$  solid tori such that their meridians are identified with the curves on the boundaries. We consider such a pair  $(M, L)$ . Let  $\omega$  be an orientation of the framed link  $L$ . By  $\text{col}(L)$  we denote the set of colourings of the  $(0,0)$ -ribbon tangle  $\Gamma(L, \omega)$ . Put

$$F(M, L) = C^{\sigma(L)} \sum_{\lambda \in \text{col}(L)} \prod_{k=1}^l d_{\lambda(L_k)} F(\Gamma(L, \omega, \lambda)) \in \mathbb{C}. \quad (1.3.1)$$

Here  $C, d_k$  ( $k = 0, \dots, r-2$ ) are constants contained in the data of the modular Hopf algebra  $U_t$  and given by the following formulas:

$$C = \exp(-\sqrt{-1}d), \quad (1.3.2)$$

$$d_k = \sqrt{\frac{2}{r}} \sin \frac{m(k+1)\pi}{r}, \quad (1.3.3)$$

where

$$d = \varphi - \frac{3\pi m}{2r} + \frac{\pi}{2}, \quad (1.3.4)$$

the number  $\varphi$  being determined from the following Gauss sum

$$\sqrt{2r} \exp(\sqrt{-1}\varphi) = \sum_{k=0}^{2r-1} \exp(\sqrt{-1}\pi k^2 m / 2r). \quad (1.3.5)$$

The notation  $\sigma(L)$  stands for the signature of the linking matrix of the framed link  $L$ . We remark that the normalization coincides with that in [6].

**Theorem 1.6 (Reshetikhin-Turaev).** For a closed connected oriented 3-manifold  $M$ ,  $F(M, L)$  is a topological invariant of  $M$ .

We may denote  $F(M, L)$  by  $F(M)$ . The invariant is multiplicative with respect to a connected sum:

$$F(M_1 \# M_2) = F(M_1)F(M_2). \quad (1.3.6)$$

We have the following relations between invariants with opposite orientations

$$F(M) = \overline{F(-M)},$$

where the bar is the complex conjugation.

**Example 1.7** The formula (1.3.6) implies that  $F(S^3) = 1$ .

Since  $S^2 \times S^1$  is obtained by Dehn surgery on  $S^3$  along an unknotted circle with framing 0, we have

$$\begin{aligned} F(S^2 \times S^1) &= \sum_{i=1}^{r-2} d_i \dim_q V_i \\ &= \sqrt{\frac{r}{2}} \left( \sin \frac{m\pi}{r} \right)^{-1} \end{aligned} \quad (1.3.7)$$

Here we used the equation  $\dim_q V_i = \sin \frac{m(i+1)\pi}{r} / \sin \frac{m\pi}{r}$ . In the case  $m = 1$ ,  $F(S^2 \times S^1)$  is equal to Kohno's invariant  $\phi_K(S^2 \times S^1)$  with  $K = r + 2$ .

Let  $M$  be a closed connected oriented 3-manifold and  $T$  be a coloured (0,0)-ribbon tangle in  $M$ . As above, let us present  $M$  as the result of surgery on  $S^3$  along a framed link  $L$  with components  $L_1, \dots, L_l$ . The ribbon tangle  $T \cup \Gamma(L, \omega, \lambda)$  may be thought of as a coloured (0,0)-ribbon tangle in  $S^3$ . We put

$$F(M, T; L, \omega) = C^{\sigma(L)} \sum_{\lambda \in \text{col}(L)} \prod_{k=1}^l d_{\lambda(L_k)} F(T \cup \Gamma(L, \omega, \lambda)). \quad (1.3.8)$$

Then  $F(M, T; L, \omega)$  is a topological invariant of the pair  $(M, T)$ . We put  $F(M, T) = F(M, T; L, \omega)$ . In particular, we have  $F(S^3, T) = F(T)$ .

## 2. A representation of $SL(2, \mathbb{Z})$

Using the invariants defined in §1, we establish a projectively linear representation of  $SL(2, \mathbb{Z})$ . Let  $M_1$  be the mapping class group of torus  $T^2$ . We fix a basis  $a, b$  in  $H_1(T^2) \cong \mathbb{Z} \oplus \mathbb{Z}$  as depicted in Fig.8.

Fig.8

The group  $M_1$  may be canonically identified with  $SL(2, \mathbb{Z})$ . A presentation of  $SL(2, \mathbb{Z})$  is given by

$$SL(2, \mathbb{Z}) = \langle S, T : S^4 = I, (ST)^3 = S^2 \rangle, \quad (2.1)$$

where  $S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ ,  $T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . Let  $Z(T^2)$  be an  $(r-1)$ -dimensional vector space over  $\mathbb{C}$  and  $\{e_0, e_1, \dots, e_{r-2}\}$  a basis of the vector space. We associate to each  $e_i$  a solid torus  $U_i$  with an annulus  $T_i$  in the interior, depicted in Fig.9.

Fig.9

We suppose that the colour of annulus  $T_i$  is  $i \in \{0, \dots, r-2\}$  and the direction as in Fig.9. We construct a projectively linear representation

$$\rho : SL(2, \mathbb{Z}) \rightarrow GL(Z(T^2))/\langle C \rangle,$$

where  $C$  is given by (1.3.2) and  $\langle C \rangle$  means the cyclic group generated by  $C \cdot I$ , when  $I$  denotes the identity matrix.

For any element  $X$  of  $SL(2, \mathbb{Z})$ , put

$$\rho(X)e_j = \sum_{i=0}^{r-2} X_{ij}e_i. \quad (2.2)$$

Let  $[h]$  be an isotopy class in  $M_1$  corresponding to  $X$ . The map  $h$  is a degree 1 homeomorphism  $T^2 \rightarrow T^2$ . We identify  $\partial U_i$  and  $\partial U_j$  using  $h$ . The resulting closed connected 3-manifold with the  $(0,0)$ -ribbon tangle consisting of two annuli  $T_i, T_j$  is denoted by  $M_X$ . Then  $X_{ij}$  in (2.2) is defined by the following formula:

$$X_{ij} = F(M_X, T_i \cup T_j) / F(S^2 \times S^1) \quad (2.3)$$

Clearly, it follows from the definition that  $X_{ij}$  does not depend on the choice of the representative element of the isotopy class.

**Theorem 2.1.** *The following homomorphism constructed above is a projectively linear representation.*

$$\rho : SL(2, \mathbb{Z}) \rightarrow GL(Z(T^2))/\langle C \rangle,$$

where  $\langle C \rangle$  means the cyclic group generated by  $C \cdot I$  in  $GL(Z(T^2))$  with  $C$  given by (1.3.2).

The values of  $S_{ij}$ ,  $I_{ij}$  and  $T_{ij}$  are given by the following formulas:

$$\begin{aligned} S_{ij} &= \sqrt{\frac{2}{r}} \sin \frac{m(i+1)(j+1)\pi}{r}, \\ I_{ij} &= \delta_{ij}, \\ T_{ij} &= t^{i(i+2)} \delta_{ij}. \end{aligned}$$

*proof.* Firstly, let us compute  $S_{ij}$ ,  $I_{ij}$ , and  $T_{ij}$ .

(1) the case  $X = S$

$M_S$  is the 3-sphere  $S^3$ . Two annuli  $T_i, T_j$  are linked in  $M_S$  and make up the Hopf link (see Fig.10).

Fig.10

Therefore we get  $F(M_S, T_i \cup T_j) = F(T_i \cup T_j)$ . One computes

$$F(T_i \cup T_j) = \sin \frac{m(i+1)(j+1)\pi}{r} / \sin \frac{m\pi}{r}. \quad (2.4)$$

Applying (2.3) with (1.3.7) and (2.4), we get

$$S_{ij} = \sqrt{\frac{2}{r}} \sin \frac{m(i+1)(j+1)\pi}{r}. \quad (2.5)$$

(2) the case  $X = I$

$M_I$  is  $S^2 \times S^1$ . In  $M_I$ ,  $T_i$  and  $T_j$  are unlinked unknotted annuli with no twists (see Fig.11). Let us consider  $S^3$  with the above annuli and the unknotted circle  $L$  that links a pair of the annuli and that has the zero framing as illustrated in Fig.12a.

Fig.11

The Dehn surgery on  $S^3$  along  $L$  produces  $S^2 \times S^1$  with  $T_i$  and  $T_j$  depicted in Fig.11. To calculate  $F(T_i \cup T_j \cup \Gamma(L, \omega, \lambda))$ , we can use the formula (1.1.2)

$$V_i \otimes V_j = (\oplus_k V_k) \oplus Z_{ij}.$$

Let us replace  $T_i$  and  $T_j$  with a unknotted annulus  $T_k$  which runs parallel to  $T_i$  and  $T_j$  (Fig.12b). We assume that  $T_k$  has a colour  $k$  and the same direction as two annuli. Then  $T_k \cup \Gamma(L, \omega, \lambda)$  is a  $(0,0)$ -ribbon tangle in  $S^3$ .

Fig.12a Fig.12b

The property (1.1.15) of the  $U_t$ -module  $Z_{ij}$  ensures the equation

$$F(T_i \cup T_j \cup \Gamma(L, \omega, \lambda)) = \sum_k F(T_k \cup \Gamma(L, \omega, \lambda)), \quad (2.6)$$

where the summation runs over  $k$  satisfying (1.1.13) and (1.1.14). As  $T_k \cup \Gamma(L, \omega, \lambda)$  is the Hopf link, we can apply (2.4) to the computation of  $F(T_k \cup \Gamma(L, \omega, \lambda))$ . If  $\lambda(L) = l$ , then we obtain

$$F(T_k \cup \Gamma(L, \omega, \lambda)) = F(S^2 \times S^1) \sqrt{\frac{2}{r}} \sin \frac{m(k+1)(l+1)\pi}{r}. \quad (2.7)$$

Thus, we get

$$I_{ij} = \frac{1}{F(S^2 \times S^1)} \sum_{l=0}^{r-2} d_l \left( \sum_k F(S^2 \times S^1) \sqrt{\frac{2}{r}} \sin \frac{m(k+1)(l+1)\pi}{r} \right),$$

where  $k$  satisfies the conditions (1.1.13) and (1.1.14). We have the following formula:

$$\sum_{l=0}^{r-2} \sin \frac{m(i+1)(l+1)\pi}{r} \sin \frac{m(l+1)(j+1)\pi}{r} = \frac{r}{2} \delta_{ij}. \quad (2.8)$$

Using (2.8), we show the formula:

$$I_{ij} = \frac{2}{r} \sum_k \frac{r}{2} \delta_{0k}.$$

The condition (1.1.13) of  $k$  asserts that  $k$  is equal to zero if and only if  $i = j$ . Therefore we get

$$I_{ij} = \delta_{ij}. \quad (2.9)$$

(3) the case  $X = T$

$M_T$  is also  $S^2 \times S^1$ . But the unknotted annulus  $T_i$  with no twists links the unknotted annulus  $T_j$  with one full twist (Fig.13). To obtain  $(M_T, T_i \cup T_j)$ , we start from  $S^3$  with

the two above annuli  $T_i$  and  $T_j$  and with an unknotted circle  $L$  which has the zero framing and which links them (Fig.14a). Carrying out the Dehn surgery on  $S^3$  along the circle  $L$  turns  $S^3$  into  $M_T \cong S^2 \times S^1$ .

Fig.13

One claims that we can make use of the idea of the case  $X = I$  to calculate  $F(T_i \cup T_j \cup \Gamma(L, \omega, \lambda))$ . We deform the annulus  $T_i$  adding the same twist as the annulus  $T_j$ . One denotes the resulting annulus by  $T_i'$ . The computation in [11, the proof of Lemma 7.1] implies

$$F(T_i' \cup T_j \cup \Gamma(L, \omega, \lambda)) = (v_i)^{-1} F(T_i \cup T_j \cup \Gamma(L, \omega, \lambda)),$$

where  $v_i = t^{i(i+2)}$ . A full twist can be expressed by a curl (Fig.14b). It follows from it that we can turn  $T_i' \cup T_j$  into two parallel annuli with no twists (Fig.14c).

Let  $T_k$  be an annulus of colour  $k$  provided with the same twist and direction as two annuli. We replace two annuli by  $T_k$  (Fig.14d).

Fig.14a Fig.14b Fig.14c Fig.14d

Then, applying theorem 1.2, one may get the following equation

$$F(T_i' \cup T_j \cup \Gamma(L, \omega, \lambda)) = \sum_{\substack{k \\ |i-j| \leq k \leq i+j \\ i+j+k \in 2\mathbb{Z} \\ i+j+k \leq 2(r-2)}} F(T_k \cup \Gamma(L, \omega, \lambda)),$$

Thus

$$T_{ij} = \frac{1}{F(S^2 \times S^1)} \sum_{l=0}^{r-2} d_l v_i \sum_k F(T_k \cup \Gamma(L, \omega, \lambda))$$

here  $\lambda(L) = l$ . Substituting  $v_i = t^{i(i+2)}$ , we obtain

$$T_{ij} = t^{i(i+2)} \delta_{ij}. \quad (2.10)$$

We put  $I_{id} = (I_{ij})$ ,  $S = (S_{ij})$  and  $T = (T_{ij})$ . They are  $(r-1) \times (r-1)$  matrices.

Let us prove that  $\rho$  is a projectively linear representation. To do this, it is sufficient to show the following:

$$S^4 = I_{id} \quad \text{mod } C \cdot I \quad (2.11)$$

$$(ST)^3 = S^2 \quad \text{mod } C \cdot I \quad (2.12)$$

One easily computes

$$S^2 = I_{id}. \quad (2.13)$$

Note that the equation  $(ST)^3 = S^2$  is equivalent to the equation  $STS = T^{-1}ST^{-1}$ . It is easy to compute that an  $(i, j)$ -entry of  $T^{-1}ST^{-1}$  is

$$\sqrt{\frac{2}{r}} t^{i(i+2)+j(j+2)} \sin \frac{m(i+1)(j+1)\pi}{r}. \quad (2.14)$$

Using  $t = \exp(\pi\sqrt{-1}m/2r)$  and Gauss sum (1.3.5), an  $(i, j)$ -entry of  $STS$  is

$$C \sqrt{\frac{2}{r}} t^{i(i+2)+j(j+2)} \sin \frac{m(i+1)(j+1)\pi}{r}. \quad (2.15)$$

It follows from (2.14) and (2.15) that

$$STS = T^{-1}ST^{-1} \cdot CI_{id}. \quad (2.16)$$

(2.13) implies (2.11) and (2.16) implies (2.12).  $\square$

### 3.Proof of Verlinde's formula

As another application of the invariants given in §1, we prove 'Verlinde's formula' (see [13]). It is given by the following formula.

$$\frac{S_{ij} S_{ik}}{S_{i0}} = \sum_{l=0}^{r-2} S_{il} N_{ljk} \quad (3.1)$$

where  $m$  and  $r$  are mutually prime integers with odd  $m$ ,  $1 \leq m \leq 2r-1$ ,  $r \leq 2$ , and

$$S_{ij} = \sqrt{\frac{2}{r}} \sin \frac{m(i+1)(j+1)\pi}{r}, \quad (3.2)$$

$$N_{ijk} = \begin{cases} 1 & \text{if } |i-j| \leq k \leq i+j, i+j+k \in 2\mathbb{Z}, i+j+k \leq 2(r-2) \\ 0 & \text{otherwise.} \end{cases}$$

*Proof of Verlinde's formula.* Let us consider  $S^2 \times S^1$  with three parallel non-twisted annuli  $T_l, T_j, T_k$  in the interior (see Fig.15). The directions of them is as in Fig.15 and the colour of  $T_l$  (resp.  $T_j, T_k$ ) is  $l$  (resp.  $j, k$ ).

Fig.15

We call this configuration of three annuli  $\widetilde{L_{ijk}}$ . The idea of the proof is to evaluate  $F(S^2 \times S^1, \widetilde{L_{ijk}})$  in two ways.

Let us begin with the surgery representation of  $(S^2 \times S^1, \widetilde{L_{ijk}})$ . Let  $L$  be an unknotted circle with the zero framing which links  $\widetilde{L_{ijk}}$  in  $S^3$  (Fig.16a). The Dehn surgery on  $S^3$  along the circle  $L$  produces  $(S^2 \times S^1, \widetilde{L_{ijk}})$ .

In the first evaluation, we use an analogue of the computation of  $I_{ij}$  and  $T_{ij}$  in §2. We replace  $T_j$  and  $T_k$  by an unknotted non-twisted annulus  $T_p$  with colour  $p$  and the same direction as them (Fig.16b). Then applying Theorem 1.2 with  $i$  replaced by  $l$ , we obtain the following equation:

$$F(\widetilde{L_{ijk}} \cup \Gamma(L, \omega, \lambda)) = \sum_p F(T_l \cup T_p \cup \Gamma(L, \omega, \lambda)).$$

Here  $p$  satisfies the conditions (1.1.13) and (1.1.14) replaced  $i$  by  $p$ .

Fig.16a Fig.16b

Then we can apply the formula (2.9) to the computation. Thus we get

$$\begin{aligned} F(S^2 \times S^1, \widetilde{L_{ijk}}) &= \sum_{l=0}^{r-2} d_l \left( \sum_p F(T_l \cup T_p \cup \Gamma(L, \omega, \lambda)) \right) \\ &= F(S^2 \times S^1) \sum_{\substack{p \\ |i-j| \leq p \leq j+k \\ p+j+k \in 2\mathbb{Z} \\ p+j+k \leq 2(r-2)}} \delta_{l,p} \end{aligned}$$

It follows from the condition of  $p$  that

$$F(S^2 \times S^1, \widetilde{L_{ijk}}) = F(S^2 \times S^1) N_{ijk} \quad (3.3)$$

To evaluate  $F(S^2 \times S^1, \widetilde{L_{ijk}})$  in the second way, we rotate the  $(0,0)$ -ribbon tangle  $\widetilde{L_{ijk}} \cup \Gamma(L)$  in  $S^3$  (Fig.17a). The result may be thought of as the closure of the  $(1,1)$ -ribbon tangle  $B_{ijk}^t$  illustrated in Fig.17b.  $F(B_{ijk}^t)$  is the homomorphism  $V_t \rightarrow V_t$ . Moreover, it may be thought of as the composition of three homomorphisms determined by  $(1,1)$ -ribbon tangles  $\tau_l^t, \tau_j^t, \tau_k^t$  illustrated in Fig.17c.

Fig.17a Fig.17b Fig.17c



The map  $F(\tau_l^t)$  is a  $\mathbb{C}$ -linear homomorphism  $\mathbb{C} \rightarrow \mathbb{C}$ , i.e. a multiplication by an element of  $\mathbb{C}$ . We denote this element by  $b_l^t$ . Similarly,  $F(\tau_j^t)$  (resp.  $F(\tau_k^t)$ ) is a multiplication by an element  $b_j^t$  (resp.  $b_k^t$ ) of  $\mathbb{C}$ . The closure of the (1,1)-ribbon tangle  $\tau_l^t$  makes up the Hopf link. We denote this invariant by  $s_{tl}$ . Analogously, the invariant which corresponds to  $\tau_j^t$  (resp.  $\tau_k^t$ ) is denoted by  $s_{tj}$  (resp.  $s_{tk}$ ). Using (2,4), we derive

$$s_{t\mu} = \sin \frac{m(t+1)(\mu+1)\pi}{r} / \sin \frac{m\pi}{r},$$

where  $\mu \in \{l, j, k\}$ . Note that  $s_{t0} = \dim_q V_t$ . Then Lemma 1.5 shows that

$$s_{t\mu} = b_\mu^t \dim_q V_t = b_\mu^t s_{t0}. \quad (3.4)$$

The above discussion and (3.6) imply that

$$\begin{aligned} F(B_{ljk}^t) &= \text{tr}_q(F(\tau_l^t) \circ F(\tau_j^t) \circ F(\tau_k^t)) \\ &= b_l^t b_j^t b_k^t \dim_q V_t \end{aligned} \quad (3.5)$$

Using (3.4) and (3.5),

$$\begin{aligned} F(S^2 \times S^1, \widetilde{L_{ljk}}) &= \sum_{t=0}^{r-2} d_t F(B_{ljk}^t) \dim_q V_t \\ &= \sum_{t=0}^{r-2} d_t \frac{s_{tl} s_{tj} s_{tk}}{(s_{t0})^2} \end{aligned} \quad (3.6)$$

Multiplying (3.3) and (3.6) by  $s_{il}$  and summing up over  $l = 0, \dots, r-2$ , we get

$$\sum_{l=0}^{r-2} F(S^2 \times S^1) N_{ljk} = d_i \left( \sin \frac{m\pi}{r} \right)^{-2} \frac{r}{2} \frac{s_{ij} s_{ik}}{(s_{i0})^2}. \quad (3.7)$$

We remark that

$$\begin{aligned} d_i &= \sqrt{\frac{2}{r}} \sin \frac{m(i+1)\pi}{r} \\ &= \sqrt{\frac{2}{r}} s_{i0} \sin \frac{m\pi}{r}. \end{aligned} \quad (3.8)$$

Substituting (3.8) in (3.7), we obtain

$$\sum_{l=0}^{r-2} s_{il} F(S^2 \times S^1) N_{ljk} = F(S^2 \times S^1) \frac{s_{ij} s_{ik}}{s_{i0}}. \quad (3.9)$$

The value  $S_{ij}$  is related to  $s_{ij}$  by the formula

$$s_{ij} = \sqrt{\frac{r}{2}} \left( \sin \frac{m\pi}{r} \right)^{-1} S_{ij}.$$

Thus (3.9) implies (3.1).  $\square$

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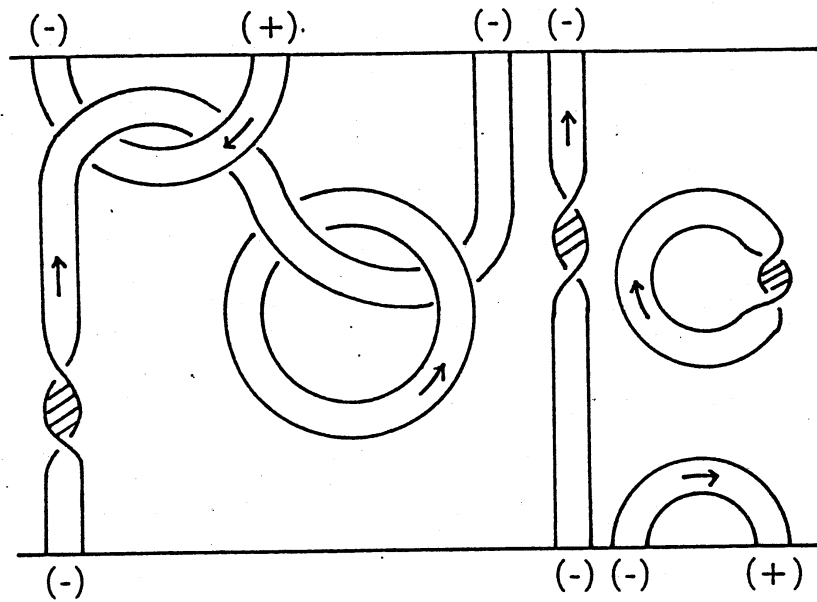


Fig.1

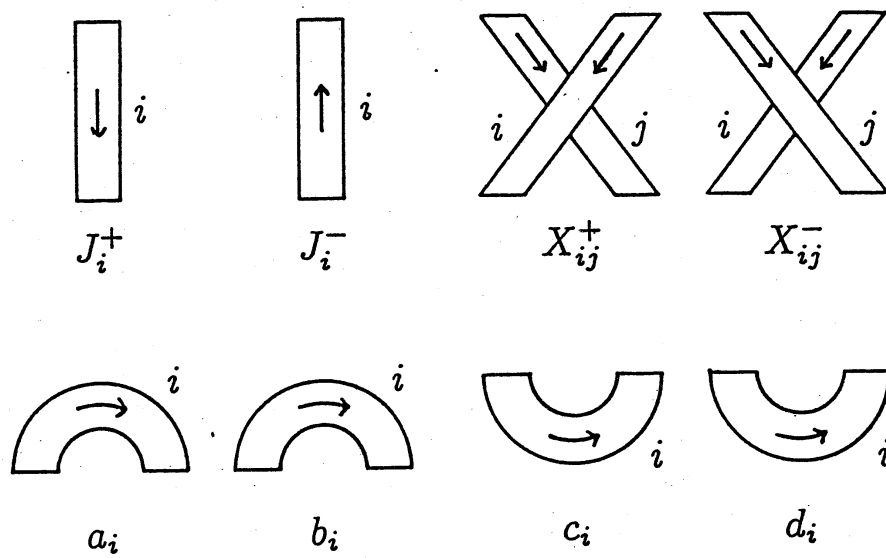


Fig.2

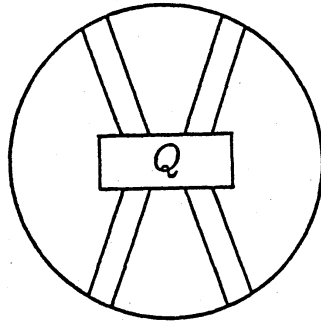


Fig.3

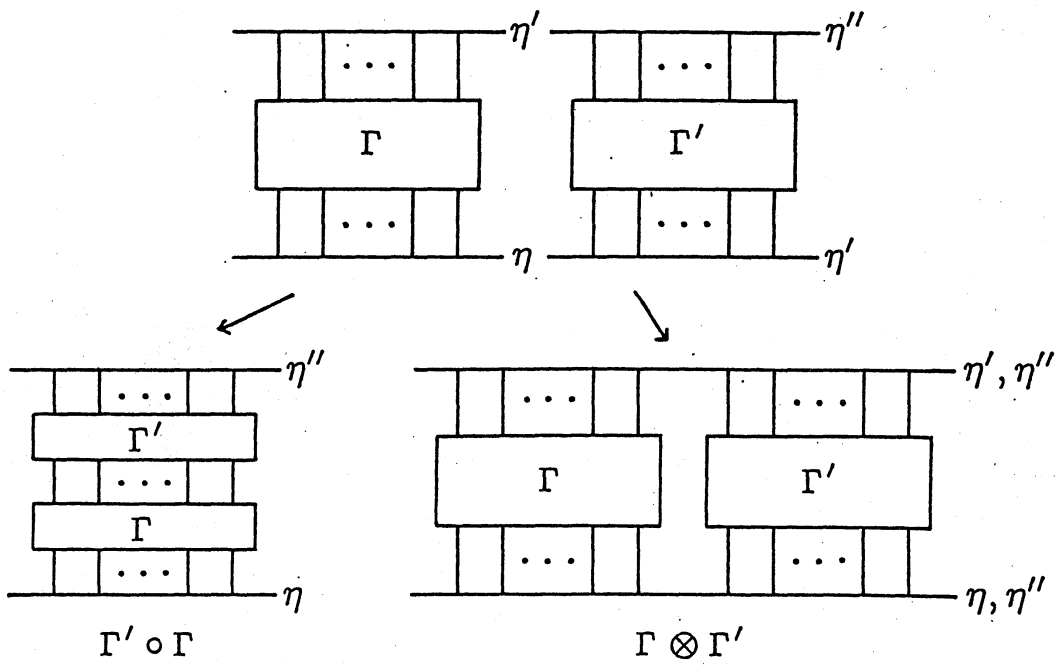


Fig.4

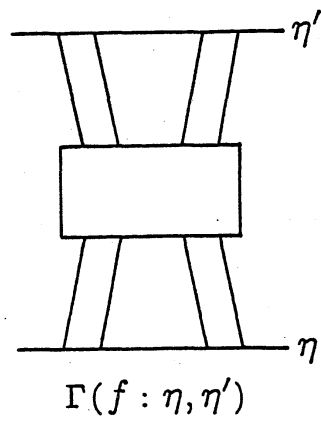


Fig.5

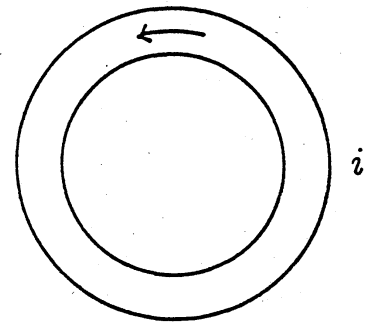


Fig.6

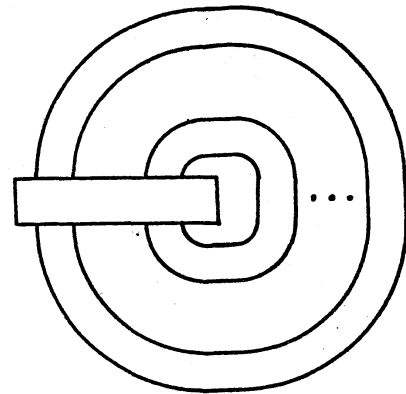
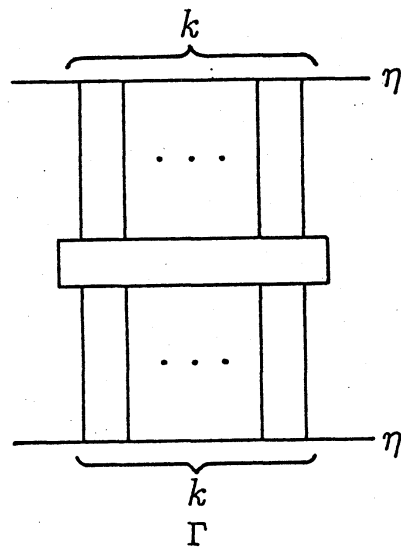


Fig.7

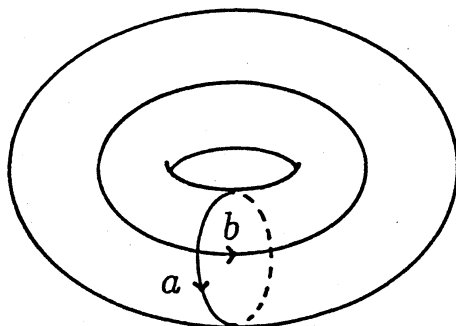


Fig.8

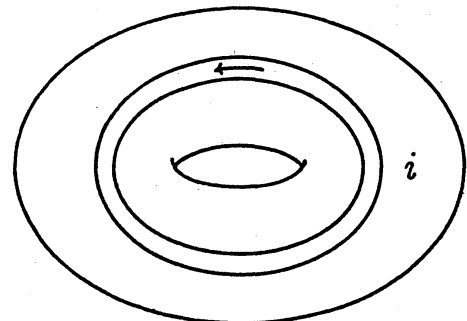


Fig.9

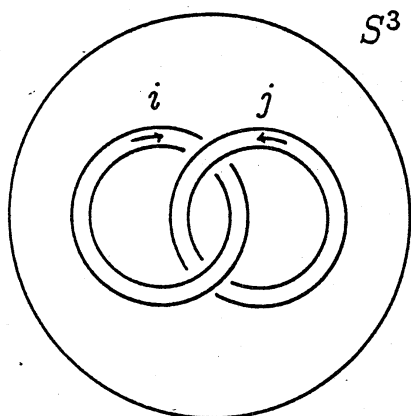


Fig.10

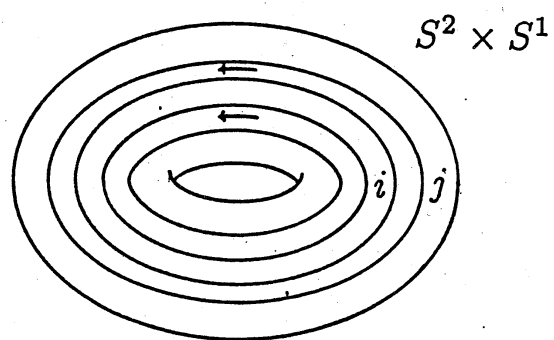


Fig.11

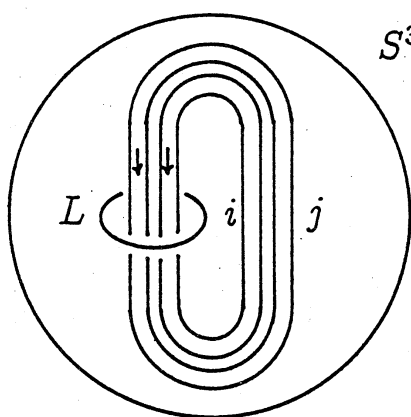


Fig.12a

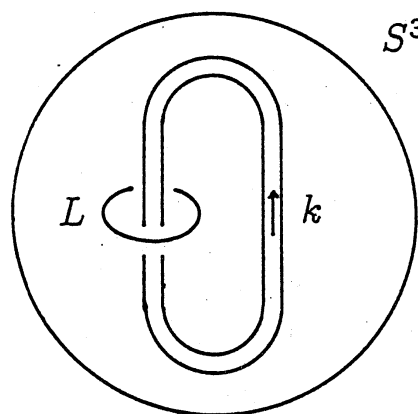


Fig.12b

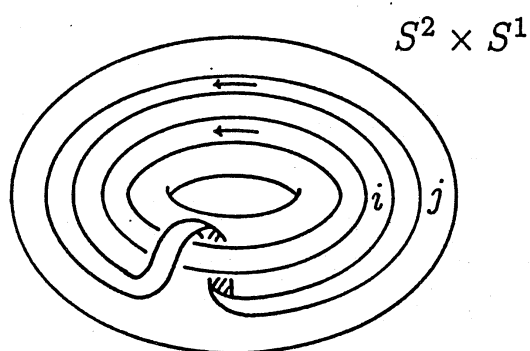


Fig.13

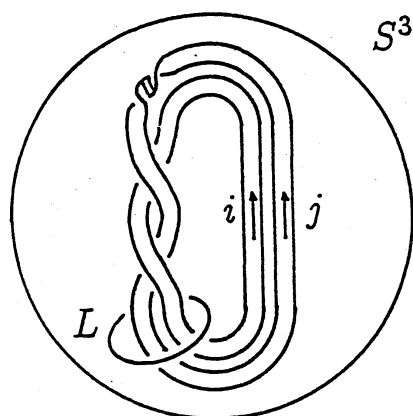


Fig. 14a

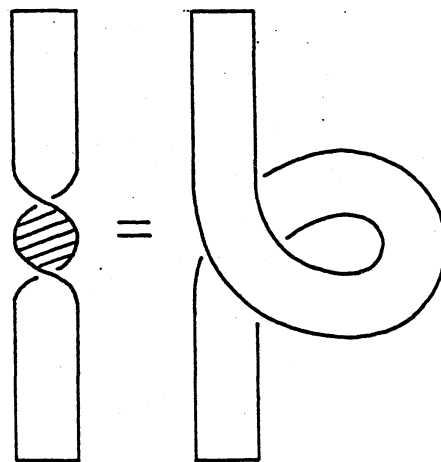


Fig. 14b

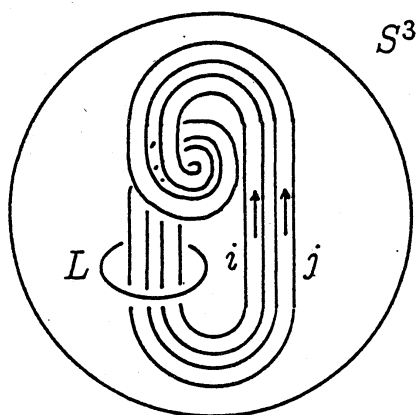


Fig. 14c

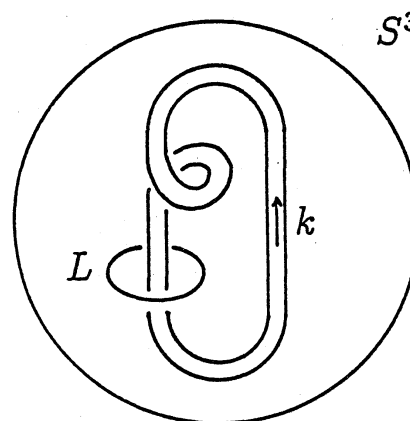


Fig. 14d

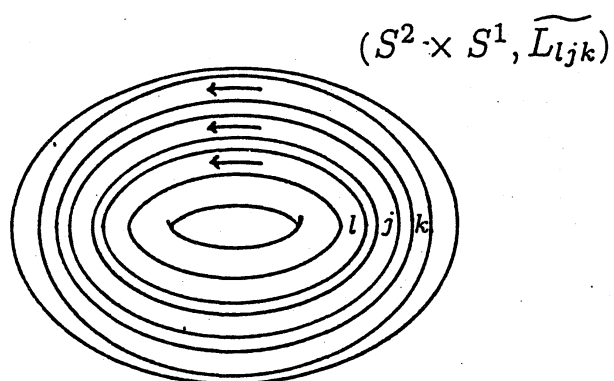


Fig. 15

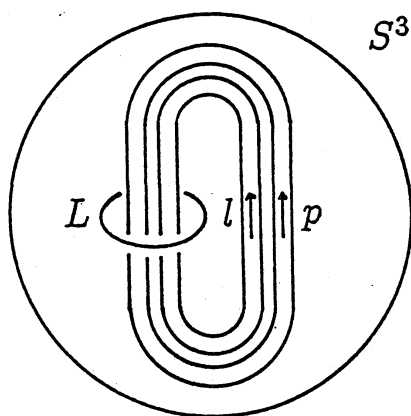


Fig.16b

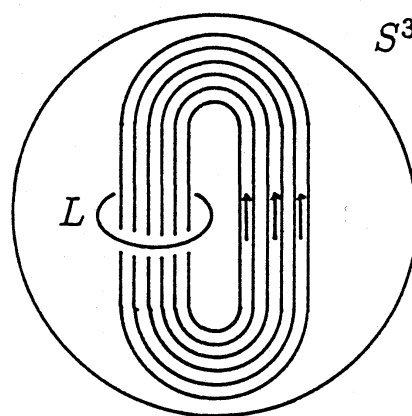


Fig.16a

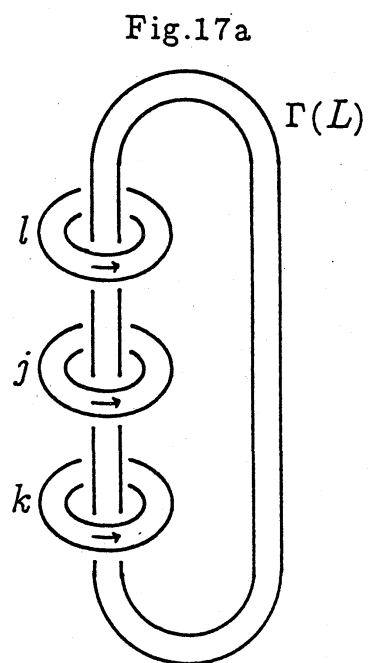


Fig.17a

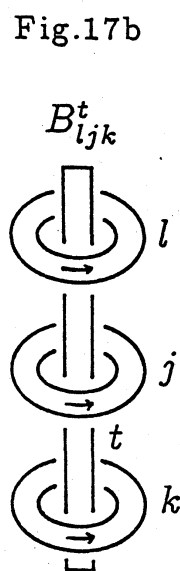


Fig.17b

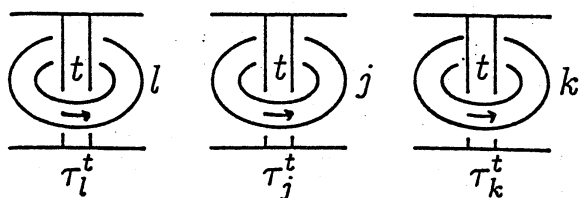


Fig.17c